

Borel circle squaring

Spencer Unger, joint work with Andrew Marks

UCLA

February 1, 2017

Theorem (Marks-U)

A disk in \mathbb{R}^2 can be partitioned into finitely many Borel sets, which can be translated to partition a square of the same area.

Let a be an action of a group Γ on a space X .

Definition

We say subsets A and B of X are a -equidecomposable if there are groups elements $\gamma_1, \dots, \gamma_n$ in Γ and a partition $\{A_1, \dots, A_n\}$ of A such that $\{\gamma_1 \cdot A_1, \dots, \gamma_n \cdot A_n\}$ is a partition of B .

Definition

A set C is a -paradoxical if there is a partition $\{A, B\}$ of C such that each of A and B is a -equidecomposable with C .

We say that the action is paradoxical if X is a -paradoxical.

The Banach Tarski paradox says that the ball in \mathbb{R}^3 is paradoxical by isometries.

Let a be an action of a group Γ on a space X .

Definition

We say that a is amenable if there is a finitely additive probability measure on X which is invariant under a .

We say that the group Γ is amenable if the natural action of Γ on itself by left translation is amenable.

An example: \mathbb{Z} is amenable.

Fix a nonprincipal ultrafilter U and for a set $A \subseteq \mathbb{Z}$ and define

$$\mu(A) = \lim_U \frac{|A \cap [-n, n]|}{|[-n, n]|}$$

Theorem (Tarski)

An action a of a group Γ on a set X is not paradoxical if and only if it is amenable.

By contrast to \mathbb{R}^3 , the isometry group of \mathbb{R}^2 is amenable. Using this one can show that there is a finitely additive, isometry invariant measure on all subsets of \mathbb{R}^2 , which extends Lebesgue measure. This shows that the Banach-Tarski paradox is impossible in \mathbb{R}^2 .

Question (Tarski 1925)

Are a disk and a square in \mathbb{R}^2 with the same area equidecomposable by isometries?

Theorem (Dubins-Hirsch-Karush 1960's)

Not possible using pieces whose boundaries are Jordan curves.

Theorem (Laczkovich 1990)

Yes!

More generally

Theorem (Laczkovich 1992)

Suppose $k \geq 1$ and suppose $A, B \subseteq \mathbb{R}^k$ are bounded sets with the same positive Lebesgue measure, $\Delta(\partial A) < k$, and $\Delta(\partial B) < k$. Then A and B are equidecomposable by translations.

More recently

Theorem (Grabowski, Máthé, and Pikhurko 2015)

Laczkovich's 1992 theorem with Lebesgue measurable or Baire measurable pieces.

Theorem (Marks-U)

Suppose $k \geq 1$ and suppose $A, B \subseteq \mathbb{R}^k$ are bounded Borel sets with the same positive Lebesgue measure, $\Delta(\partial A) < k$, and $\Delta(\partial B) < k$. Then A and B are equidecomposable by translations using Borel pieces.

By translating and scaling our sets we can assume that we are working inside the torus $\mathbb{T}^k = \mathbb{R}^k / \mathbb{Z}^k$, which we identify with $[0, 1)^k$.

Let $\bar{u} \in (\mathbb{T}^k)^d$ be a sequence of d translations and let $a_{\bar{u}}$ be the natural action of \mathbb{Z}^d on \mathbb{T}^k generated by \bar{u} :

$$(n_1, \dots, n_d) \cdot x = n_1 u_1 + \dots + n_d u_d + x$$

If there are a natural number M and a Borel bijection $g : A \rightarrow B$ so that for all x in A , there is γ in \mathbb{Z}^d with $\|\gamma\|_\infty \leq M$ such that $g(x) = \gamma \cdot x$, then A and B are equidecomposable by translations using Borel pieces.

For γ in \mathbb{Z}^d with $\|\gamma\|_\infty \leq M$, we have pieces

$$A_\gamma = \{x \in A \mid g(x) = \gamma \cdot x\}.$$

For our theorem it is enough to find a sequence of translations \bar{u} for which there are g and M as above.

We define a graph $G_{a_{\bar{u}}}$ on \mathbb{T}^k by putting an edge $\{x, y\}$ when there is some $\gamma \in \mathbb{Z}^d$ with $\|\gamma\|_{\infty} = 1$ so that $\gamma \cdot x = y$.

For a rectangle R , we must understand $|A \cap R| - |B \cap R|$.

Let λ be Lebesgue measure on \mathbb{T}^k .

If $F \subseteq \mathbb{T}^k$ is finite and $A \subseteq \mathbb{T}^k$ is λ -measurable, then the *discrepancy of F relative to A* is

$$D(F, A) = \left| \frac{|F \cap A|}{|F|} - \lambda(A) \right|.$$

Note that if $D(R, A)$ and $D(R, B)$ are both small, then so is

$$\frac{|A \cap R| - |B \cap R|}{|R|}.$$

Laczkovich provides just the theorem we need to understand this discrepancy.

Suppose G is a locally finite graph with vertex set V . We think of the edges of G as forming a symmetric irreflexive relation on V .

For $f : V \rightarrow \mathbb{R}$, we define an f -flow on G to be a real-valued function ϕ on the edges of G such that for every edge (x, y) in G , $\phi(x, y) = -\phi(y, x)$ and for every $x \in V$,

$$f(x) = \sum_{y \in N(x)} \phi(x, y).$$

Suppose that c is a nonnegative function on the edges of G (where we may have $c(x, y) \neq c(y, x)$). We call c a capacity function.

We say that an f -flow ϕ is *bounded by c* if $\phi(x, y) \leq c(x, y)$ for every edge (x, y) in G . We say that an f -flow ϕ is *bounded* if it is bounded by a constant capacity function.

Flows in locally finite graphs:

- For a locally finite graph it is easy to characterize when there is an f -flow bounded by some capacity function c .
- For finite graphs, this is a consequence of max flow min cut.
- For infinite graphs we can reduce to the countable case and use an ultralimit construction.
- Using the Ford-Fulkerson algorithm, if the function f and the capacity function c are integer valued and an f -flow satisfying c exists, then an integer valued flow exists.

Main steps of the proof.

- 1 Show that if there is a sequence of translations \bar{u} such that $G_{a_{\bar{u}}}$ has a bounded Borel $\chi_A - \chi_B$ -flow which takes integer values, then there is a function g which finishes the theorem.
- 2 Construct a *real valued* bounded Borel $\chi_A - \chi_B$ -flow in $G_{a_{\bar{u}}}$ where \bar{u} is chosen using Laczkovich's discrepancy estimates.
- 3 Convert the real valued flow to an integer valued one.

We construct a real valued Borel $\chi_A - \chi_B$ -flow in $G_{a\bar{u}}$ by giving an explicit algorithm.

- Relies on Laczkovich's discrepancy estimates for convergence.
- Uses the fact that the average of flows is a flow.

We show that any real-valued Borel $\chi_A - \chi_B$ -flow in $G_{a_{\bar{u}}}$ can be converted into an integer one which is close to the real valued one.

- Uses the integer version of a theorem about when flows exist.
- Uses work of Timár on the boundaries of finite sets in \mathbb{Z}^d .
- Uses very recent work of Gao, Jackson, Krohne and Seward on hyperfiniteness of free Borel actions of \mathbb{Z}^d .

Finally we can use the integer valued flow to construct the bijection g which moves points in A at most some fixed distance in $G_{a_{\bar{u}}}$. This finishes the proof.