

# Forcing and selection principles

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- ▶ Selection principles (combinatorial covering properties)
- ▶ Combinatorial versions of separability
- ▶ Preservation by products

# Menger and Hurewicz spaces

$X$  is compact if for every open cover  $\mathcal{U}$  of  $X$  there exists a finite  $\mathcal{V} \subset \mathcal{U}$  such that  $X = \bigcup \mathcal{V}$ .

$X$  is  $\sigma$ -compact if  $X = \bigcup_{n \in \omega} K_n$  for some compact  $K_n$ .

Can we reformulate the  $\sigma$ -compactness as a covering property?

*1st attempt:*

For every sequence  $\langle \mathcal{U}_n : n \in \omega \rangle$  of open covers of  $X$  there is a sequence  $\langle \mathcal{V}_n : n \in \omega \rangle$  such that  $\mathcal{V}_n \in [\mathcal{U}_n]^{<\omega}$  and  $\{\bigcup \mathcal{V}_n : n \in \omega\}$  is a cover of  $X$ .

*2nd attempt:*

for every sequence  $\langle \mathcal{U}_n : n \in \omega \rangle$  of open covers of  $X$  there is a sequence  $\langle \mathcal{V}_n : n \in \omega \rangle$  such that  $\mathcal{V}_n \in [\mathcal{U}_n]^{<\omega}$  and each  $x \in X$  belongs to  $\bigcup \mathcal{V}_n$  for all but finitely many  $n$ .

1 - **Menger** property

2- **Hurewicz** property

$\sigma$ -compact  $\rightarrow$  Hurewicz  $\rightarrow$  Menger  $\rightarrow$  Lindelöf.

Example:  $\omega^\omega$  is not Menger. Witness:

$$\mathcal{U}_n = \{ \{x : x(n) = k\} : k \in \omega \}.$$

## Basic facts and examples

For analytic sets of reals Menger is equivalent to  $\sigma$ -compact.  
In  $L$  there exists a co-analytic Menger subspace of  $\omega^\omega$  which is not  $\sigma$ -compact.

Given  $x, y \in \omega^\omega$ ,  $x \leq^* y$  means  $\{n : x(n) \leq y(n)\}$  is cofinite.

### Theorem (Hurewicz 1925)

*A zero-dimensional Lindelöf space  $X$  is Hurewicz iff  $f[X]$  is bounded with respect to  $\leq^*$  for any continuous  $f : X \rightarrow \omega^\omega$ .*

*A zero-dimensional Lindelöf space  $X$  is Menger iff  $f[X]$  is non-dominating with respect to  $\leq^*$  for any continuous  $f : X \rightarrow \omega^\omega$ .*

$\mathfrak{b}$  is the minimal cardinality of an unbounded subset of  $\omega^\omega$ .  $\mathfrak{d}$  is the minimal cardinality of a dominating subset of  $\omega^\omega$ .

$|X| < \mathfrak{b} \rightarrow X$  is Hurewicz.

$|X| < \mathfrak{d} \rightarrow X$  is Menger.

## Examples of Menger and Hurewicz spaces under CH.

$X \subset \omega^\omega$  is a *Luzin* set if  $|X| = \omega_1$  and  $|X \cap M| \leq \omega$  for any meager  $M$ . Every Luzin set is Menger because concentrated.

$X \subset 2^\omega$  is a *Sierpinski* set if  $|X| = \omega_1$  and  $|X \cap N| \leq \omega$  for any measure 0 set  $N$ .

### Theorem (Scheepers 1996)

*Let  $P$  be compact.  $X \subset P$  is Hurewicz iff for every  $G_\delta$ -set  $G \supset X$  there exists a  $\sigma$ -compact  $F$  such that  $X \subset F \subset G$ .*

### Corollary

*Luzin sets are Menger but not Hurewicz. Sierpinski sets are Hurewicz.*

More generally:  $\mathfrak{b}$ -Sierpinski sets are Hurewicz and  $\mathfrak{d}$ -Luzin sets are Menger.

## Theorem (Essentially A. Dow)

*Let  $(X, \tau)$  be a Lindelöf space. Then  $X$  is Menger in  $V^{Fn(\mu, 2)}$ .*

**Proof.** Two steps. 1.  $X$  remains Lindelöf. 2.  $X$  becomes Menger.

□

## Theorem (Folklore)

*Every set of ground model reals is Hurewicz after adding uncountably many dominating reals.*

The formulation above is of course informal.

# Distinguishing between these properties in ZFC

*Fremlin and Miller 1988:*

In ZFC there exists a **Menger non- $\sigma$ -compact** set of reals.

*Just, Miller, Scheepers, and Szeptycki 1996:*

In ZFC there exists a **Hurewicz non- $\sigma$ -compact** set of reals.

*Bartoszynski and Tsaban 2006:*

In ZFC there exists a set of reals whose all finite powers are **Hurewicz non- $\sigma$ -compact**.

*Chaber and Pol 2002:*

In ZFC there exists a set of reals whose all finite powers are **Menger and not Hurewicz**.

For curiosity:

*Telgarsky 1984:*

If the second player has a winning strategy in the Menger game on a hereditarily Lindelöf  $X$ , then  $X$  is  $\sigma$ -compact.

## How to get such ZFC - counterexamples?

A set  $X \subset \omega^\omega$  is  $\kappa$ -concentrated on a countable  $Q$ , if  $|X| \geq \kappa$  and  $|X \setminus U| < \kappa$  for any open  $U \subset \omega^\omega$  containing  $Q$ . If  $\kappa \leq \mathfrak{d}$ , then  $X \cup Q$  is Menger.

**Fact.** There exists a  $\mathfrak{d}$ -concentrate set.

**Proof.** Fix a dominating  $\{d_\alpha : \alpha < \mathfrak{d}\} \subset \omega^\omega$  and inductively construct  $S = \{s_\alpha : \alpha < \mathfrak{d}\} \subset \omega^{\uparrow\omega}$  such that  $s_\alpha \not\leq^* d_\beta$  for all  $\beta \leq \alpha$ . Viewed as a subspace of  $(\omega + 1)^{\uparrow\omega}$ ,  $S$  is  $\mathfrak{d}$ -concentrated on  $Q = \{x \in (\omega + 1)^{\uparrow\omega} : x \text{ is eventually } \omega\}$ .  $\square$

**Fact.** There exists a  $\mathfrak{b}$ -concentrate set.

**Proof.** Fix an unbounded  $B = \{b_\alpha : \alpha < \mathfrak{b}\} \subset \omega^\omega$  such that  $b_\beta \leq^* b_\alpha$  for all  $\beta \leq \alpha$ .  $B$  is  $\mathfrak{b}$ -concentrated on  $Q$ .  $\square$

*Bartoszynski-Shelah 2001:*  $B \cup Q$  is Hurewicz.

*Bartoszynski-Tsaban 2006:* All finite powers of  $B \cup Q$  are Hurewicz.

"All  $\mathfrak{b}$ -concentrated sets are Hurewicz" is independent: wrong under  $\mathfrak{b} = \mathfrak{d}$ , true in the Miller model.



## Preservation by products: reduction to subspaces of $2^\omega$ .

In ZFC there are two normal spaces  $X, Y$  with a covering property much stronger than the Hurewicz one such that  $X \times Y$  is not Lindelöf (Todorćevic 1995).

### Theorem (Z. 2005)

*Suppose that  $X_0, X_1$  have properties  $\mathcal{P}_0, \mathcal{P}_1$ , respectively, where  $\mathcal{P}_0, \mathcal{P}_1 \in \{ \text{Menger, Hurewicz, Menger in all finite powers, Hurewicz in all finite powers} \}$ , and  $X \times Y$  is Lindelöf but not Hurewicz (resp. Menger).*

*Then there exist  $X', Y' \subset 2^\omega$  having properties  $\mathcal{P}_0, \mathcal{P}_1$ , respectively, and such that  $X' \times Y'$  is not Hurewicz (resp. Menger).*

## A typical non-preservation by products proof

**Theorem (Just, Miller, Scheepers, and Szeptycki 1996)**

*(CH) There are two Sierpinski (hence Hurewicz) sets  $S_0, S_1$  whose product is not Menger.*

**Proof.** Fix a countable dense  $Q \subset 2^\omega$  and write  $2^\omega \setminus Q = \{x_\alpha : \alpha < \omega_1\}$ . In the construction of a Sierpinski set by transfinite induction at each stage  $\alpha$  we can pick a point  $s_\alpha$  outside of a given measure zero set  $Z_\alpha \subset 2^\omega$ .  $2^\omega$  has a natural structure of a topological group, and the sum of any two measure 1 sets is the whole group. Choose  $s_\alpha^0, s_\alpha^1 \in 2^\omega \setminus Z_\alpha$  such that  $s_\alpha^0 + s_\alpha^1 = x_\alpha$  and  $s_\alpha^i + \{s_\beta^{1-i} : \beta < \alpha\} \cap Q = \emptyset$ . Set  $S_i = \{s_\alpha^i : \alpha < \omega_1\}$ .  $\square$

## Further non-preservation results

$X$  is a  $\gamma$ -space if  $C_p(X) = \{f : X \rightarrow \mathbb{R} \mid f \text{ is continuous}\}$  with the topology inherited from  $\mathbb{R}^X$  is FU.

$X$  is Rothberger if For every sequence  $\langle \mathcal{U}_n : n \in \omega \rangle$  of open covers of  $X$  there is a sequence  $\langle V_n : n \in \omega \rangle$  such that  $V_n \in \mathcal{U}_n$  and  $\bigcup \{V_n : n \in \omega\} = X$ .

Each  $\gamma$ -sets is Rothberger and Hurewicz, and all finite powers of a  $\gamma$ -set are  $\gamma$ -sets.

### Theorem (Scheepers 1999)

*(CH) There exist  $X, Y \subset 2^\omega$  such that all finite powers of  $X, Y$  are Rothberger but  $X \times Y$  is not Menger.*

### Theorem (A. Miller-Tsaban-Zdomskyy 2016)

*(CH) There exist  $\gamma$ -sets  $X, Y \subset 2^\omega$  such that  $X \times Y$  is not Menger.*

### Theorem (Barman-Dow 2012)

*PFA implies that any finite product of  $\gamma$ -sets of reals is Menger.*

### Corollary (A. Miller-Tsaban-Zdomskyy 2016)

*After adding uncountably many Cohen reals to a model of CH, there exist  $\gamma$ -sets  $X, Y \subset 2^\omega$  such that  $X \times Y$  is not Hurewicz but all finite powers thereof are Menger.*

### Theorem (Repovš-Zdomskyy 2010)

*( $\mathfrak{b} = \mathfrak{d}$ ). There exist  $X, Y \subset 2^\omega$  such that all finite powers of  $X, Y$  are Menger but  $X \times Y$  is not Menger.*

# Combinatorial versions of separability: switching points and open sets

*Scheepers 1999:*

$X$  is  *$M$ -separable*, if for every sequence  $\langle D_n : n \in \omega \rangle$  of dense subsets of  $X$ , one can pick finite subsets  $F_n \subset D_n$  so that  $\bigcup_{n \in \omega} F_n$  is dense.

*Bella, Bonanzinga, Matveev 2009:*

$X$  is  *$H$ -separable*, if it satisfies a stronger version of the property above, namely that  $\bigcup_{n \in I} F_n$  is dense for all  $I \in [\omega]^\omega$  (equivalently, every nonempty open set  $O \subset X$  meets all but finitely many  $F_n$ 's).

**Example:**

second-countable spaces (even spaces with a countable  $\pi$ -base) are  $H$ -separable, and each  $H$ -separable space is  $M$ -separable.

Countable FU spaces are  $M$ -separable.

There seems to be no (consistent) example of a FU and non- $H$ -separable space known.

### Theorem (Scheepers 1999)

*For a metrizable space  $X$ ,  $C_p(X)$  is  $M$ -separable if and only if all finite powers of  $X$  are Menger.*

### Theorem (Bella-Bonanzinga-Matveev 2009)

*For a metrizable space  $X$ ,  $C_p(X)$  is  $H$ -separable if and only if all finite powers of  $X$  are Hurewicz.*

As a result, there are countable regular spaces (even countable topological groups) distinguishing between having countable  $\pi$ -base,  $H$ -separability, and  $M$ -separability.

## Preservation by products

$$C_p(X \sqcup Y) = C_p(X) \times C_p(Y).$$

If  $X \times Y$  doesn't have some property  $\mathcal{P}$  inherited by closed subsets, then  $(X \sqcup Y)^2$  also doesn't have  $\mathcal{P}$ .

Now suppose that  $X^n$  and  $Y^n$  are Menger for all  $n \in \omega$  and  $X \times Y$  is not Menger. Then  $C_p(X), C_p(Y)$  are  $M$ -separable, but  $C_p(X) \times C_p(Y)$  is not.

Similarly for Hurewicz vs.  $H$ -separability.

Now we can just translate. E.g.,

**Theorem (A. Miller-Tsaban-Z 2016)**

*(CH). There are two FU spaces of the form  $C_p(*)$  (and hence they are  $H$ -separable) whose product is not  $M$ -separable.*

There are also direct constructions due to Barman, Dow, M. Sakai, and Gruenhage.

## Theorem (Repovs-Z. 2016)

*In the Laver model for the consistency of the Borel's conjecture, the product of any two Hurewicz subspaces of  $2^\omega$  has the Menger property.*

**Note:** The conclusion doesn't follow from the Borel's Conjecture.

## Theorem (Z. 2016)

*In the Miller model the product of any two Menger subspaces of  $2^\omega$  has the Menger property.*

We don't know whether  $\mathfrak{u} < \mathfrak{g}$  suffices.



## Theorem (Repovs-Z. 2016)

*In the Laver model for the consistency of the Borel's conjecture, the product of any two  $H$ -separable spaces is  $M$ -separable, provided that all of its dense subsets are separable.*

## Theorem (Z. 2017)

*In the Miller model the product of any two  $M$ -separable spaces is  $M$ -separable, provided that all of its dense subsets are separable.*

## Definition

$X$  is *weakly concentrated* if for every collection  $\mathcal{Q} \subset [X]^\omega$  which is cofinal with respect to inclusion,

and for every function  $R : \mathcal{Q} \rightarrow \mathcal{P}(X)$  assigning to each  $Q \in \mathcal{Q}$  a  $G_\delta$ -set  $R(Q)$  containing  $Q$ ,

there exists  $Q_1 \in [\mathcal{Q}]^{\omega_1}$  such that  $X \subset \bigcup_{Q \in Q_1} R(Q)$ .

Under CH any subset of  $2^\omega$  is weakly concentrated. So the notion might be interesting only under  $\mathfrak{c} > \omega_1$ .

## Lemma

- ▶ *In the Laver model every Hurewicz subspace of  $\mathcal{P}(\omega)$  is weakly concentrated.*
- ▶ *If  $\mathfrak{b} > \omega_1$ , then a product of a weakly concentrated  $X \subset 2^\omega$  and a Hurewicz  $Y \subset 2^\omega$  is Menger.*

## How concentration works in products

Time permitting, it should be explained on the blackboard why Hurewicz  $\times$  concentrated is Menger.

Thank you for your attention.