

# Simplicity and the quest for ultimate (mathematical) truth

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The mathematical story of infinity begins with:

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$$\emptyset$$

(The empty set)

## Ordinals: the transfinite numbers

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- ▶  $\{\emptyset\}$  is the next ordinal: this is 1.
- ▶  $\{\emptyset, \{\emptyset\}\}$  is next ordinal: this is 2.

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*If  $\alpha$  is an ordinal then*

$$\alpha + 1 = \alpha \cup \{\alpha\}$$

*is the next largest ordinal.*

## V: The Universe of Sets

### The power set

*Suppose  $X$  is a set. The powerset of  $X$  is the set*

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## Cumulative Hierarchy of Sets

*The universe  $V$  of sets is generated by defining  $V_\alpha$  by induction on the ordinal  $\alpha$ :*

1.  $V_0 = \emptyset$ ,
2.  $V_{\alpha+1} = \mathcal{P}(V_\alpha)$ ,
3. if  $\alpha$  is a limit ordinal then  $V_\alpha = \bigcup_{\beta < \alpha} V_\beta$ .

► If  $X$  is a set then  $X \in V_\alpha$  for some ordinal  $\alpha$ .

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$V_\omega$  is infinite, it is the set of all (hereditarily) finite sets.

- ▶ The original principles (ZFC axioms) of Set Theory are naturally expanded by additional principles which assert the existence of “very large” infinite sets.
- ▶ These principles are called *large cardinal axioms*.

## The hierarchy of large cardinal axioms—short version

- ▶ *There is a proper class of measurable cardinals.*
- ▶ *There is a proper class of strong cardinals.*
- ▶ *There is a proper class of Woodin cardinals.*
- ▶ *There is a proper class of superstrong cardinals.*

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- ▶ *There is a proper class of supercompact cardinals.*
- ▶ *There is a proper class of extendible cardinals.*
- ▶ *There is a proper class of huge cardinals.*
- ▶ *There is a proper class of  $\omega$ -huge cardinals.*

# The Continuum Hypothesis: CH

## Theorem (Cantor)

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## The Continuum Hypothesis

*Suppose  $A \subseteq \mathbb{R}$  is infinite. Then either:*

- 1.  $A$  and  $\mathbb{N}$  have the same cardinality, or*
- 2.  $A$  and  $\mathbb{R}$  have the same cardinality.*

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- ▶ The Continuum Hypothesis is a statement about just  $V_{\omega+2}$ .

## Cohen's method

*If  $M$  is a universe of Set Theory then  $M$  contains “blueprints” for virtual universes  $N$  which extend  $M$ . These blueprints can be constructed and analyzed from within  $M$ .*

- ▶ *If  $M$  is countable then every blueprint constructed within  $M$  can be realized as genuine extension of  $M$ .*

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- ▶ *If  $M$  is countable then every blueprint constructed within  $M$  can be realized as genuine extension of  $M$ .*
- ▶ Cohen proved that **every** universe  $M$  contains a blueprint for an extension in which the Continuum Hypothesis is false.
- ▶ Cohen's method also shows that **every** universe  $M$  contains a blueprint for an extension in which the Continuum Hypothesis is true.

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Attempt 1: The Generic-Multiverse

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### Generic-Multiverse truth (Vision Statement)

*A statement is a **Generic-Multiverse truth** if it holds in each universe of the Generic-Multiverse.*

- ▶ *these are the **universal laws** of Set Theory.*

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### Generic-Multiverse truth (Vision Statement)

*A statement is a **Generic-Multiverse truth** if it holds in each universe of the Generic-Multiverse.*

- ▶ *these are the **universal laws** of Set Theory.*
- ▶ These laws can be identified within each universe of the Generic-Multiverse.

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*and even for  $V_\delta$  where  $\delta$  is the least instance of **any** large cardinal notion which is correctly specified simply by the existence of some  $V_\alpha$  within which the notion holds of  $\delta$ ,*

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- ▶ Further these laws are **definable** in  $V_{\delta+1}$  where  $\delta$  is the least Woodin cardinal.

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So either:

- ▶ the  $\Omega$  Conjecture is false; or
- ▶ the Generic-Multiverse approach is not an option.

# Dealing with the ramifications of Cohen's method

## Attempt 2: Refine the concept of set

### The definable power set

For each set  $X$ ,  $\mathcal{P}_{\text{Def}}(X)$  denotes the set of all  $Y \subseteq X$  such that  $X$  is logically definable in the structure  $(X, \in)$  from parameters in  $X$ .

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- ▶  $\mathcal{P}_{\text{Def}}(X)$  is the collection of all “simple” subsets of  $X$ 
  - ▶ versus  $\mathcal{P}(X)$  which is the collection of *all* subsets of  $X$ .

# The effective cumulative hierarchy: $L$

## Cumulative Hierarchy of Sets

*The cumulative hierarchy is defined by induction on  $\alpha$  as follows.*

1.  $V_0 = \emptyset$ .
2.  $V_{\alpha+1} = \mathcal{P}(V_\alpha)$ .
3. *if  $\alpha$  is a limit ordinal then  $V_\alpha = \bigcup_{\beta < \alpha} V_\beta$ .*
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## Gödel's constructible universe, $L$

*Define  $L_\alpha$  by induction on  $\alpha$  as follows.*

1.  $L_0 = \emptyset$ .
2.  $L_{\alpha+1} = \mathcal{P}_{\text{Def}}(L_\alpha)$ .
3. *if  $\alpha$  is a limit ordinal then  $L_\alpha = \bigcup \{L_\beta \mid \beta < \alpha\}$ .*

►  $L$  is the class of all sets  $X$  such that  $X \in L_\alpha$  for some  $\alpha$ .

The axiom:  $V = L$

*Suppose  $X$  is a set. Then  $X \in L$ .*

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- ▶ Suppose there is a Cohen-blueprint for  $V = L$ . Then:
  - ▶ the axiom  $V = L$  must hold and the blueprint is trivial.

Theorem (after Gödel)

*Assume  $V = L$ . Then  $V$  is the minimum universe of the Generic-Multiverse.*

## The axiom $V = L$ and large cardinals

### Theorem (Scott)

*Assume  $V = L$ . Then there are no measurable cardinals.*

- ▶ **(There are no (genuine) large cardinals.)**
  
- ▶ This is again too much simplicity.

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### (meta) Corollary

*The axiom  $V = L$  is false.*

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## (meta) Corollary

*The axiom  $V = L$  is false.*

▶ The solution is obvious:

## The Inner Model Program

*Construct enlargements of  $L$  in which large cardinals can exist:*

▶ *do this by using the large cardinals themselves to expand the definable powerset.*

## A problem with this approach because of its incremental nature:

- ▶ *There can be no ultimate enlargement since its construction would require having identified **all** notions of higher infinity*
  - ▶ *so with every enlargement there is a generalization of Scott's Theorem.*
- ▶ *The program to understand  $V$  through enlargements of  $L$  can never succeed.*

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### **This picture must be wrong.**

- ▶ Something completely unexpected happens.
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*There is a very good candidate for the axiom  $V = \text{Ultimate-}L$ ,*

- ▶ **even though it is not yet known how to construct this enlargement.**

## Consequences of the axiom $V = \text{Ultimate-L}$

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*The Continuum Hypothesis holds.*

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Theorem ( $V = \text{Ultimate-L}$ )

*$V$  is the minimum universe of the Generic-Multiverse.*

## Technical afterword: the small print

### The axiom for $V = \text{Ultimate-L}$

- ▶ *There is a strong cardinal which is a limit of Woodin cardinals.*
- ▶ *For each  $\Sigma_3$ -sentence  $\varphi$ , if  $\varphi$  holds in  $V$  then there is a universally Baire set  $A \subseteq \mathbb{R}$  such that*

$$\text{HOD}^{L(A, \mathbb{R})} \cap V_\Theta \models \varphi$$

where  $\Theta = \Theta^{L(A, \mathbb{R})}$ .

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### Ultimate-L Conjecture

*Suppose that  $\delta$  is an extendible cardinal. Then there is a transitive class  $N$  such that:*

1.  *$N$  is a suitable extender model for  $\delta$  is supercompact.*
2.  *$N \subseteq \text{HOD}$ .*
3.  *$N \models "V = \text{Ultimate-L}"$ .*