

Splitting Numbers

Heike Mildenberger

Universität Freiburg, Mathematisches Institut, Abteilung für
Mathematische Logik

<http://home.mathematik.uni-freiburg.de/mildenberger>

Young Set Theory Conference
Copenhagen, June 13-17, 2016

Estimates in ZFC

Estimates in ZFC

Increasing the splitting number by forcing

Estimates in ZFC

Increasing the splitting number by forcing

The splitting number at regular uncountable cardinals

Estimates in ZFC

Increasing the splitting number by forcing

The splitting number at regular uncountable cardinals

The classical splitting number

Definition

Let $X, S \in [\omega]^\omega$. We say S splits X if both $S \cap X$ and $X \setminus S$ are infinite.

The classical splitting number

Definition

Let $X, S \in [\omega]^\omega$. We say S splits X if both $S \cap X$ and $X \setminus S$ are infinite.

A subset $\mathcal{S} \subseteq [\omega]^\omega$ is called a **splitting family** if any $X \in [\omega]^\omega$ is split by a member of \mathcal{S} .

The classical splitting number

Definition

Let $X, S \in [\omega]^\omega$. We say S splits X if both $S \cap X$ and $X \setminus S$ are infinite.

A subset $\mathcal{S} \subseteq [\omega]^\omega$ is called a splitting family if any $X \in [\omega]^\omega$ is split by a member of \mathcal{S} .

The **splitting number**, \mathfrak{s} , is the smallest cardinal of a splitting family.

The classical splitting number

Definition

Let $X, S \in [\omega]^\omega$. We say S splits X if both $S \cap X$ and $X \setminus S$ are infinite.

A subset $\mathcal{S} \subseteq [\omega]^\omega$ is called a splitting family if any $X \in [\omega]^\omega$ is split by a member of \mathcal{S} .

The splitting number, \mathfrak{s} , is the smallest cardinal of a splitting family.

We state two very easy properties:

(1) If \mathcal{S} does not split X and $X' \subseteq^* X$, then \mathcal{S} does not split X' .

The classical splitting number

Definition

Let $X, S \in [\omega]^\omega$. We say S splits X if both $S \cap X$ and $X \setminus S$ are infinite.

A subset $\mathcal{S} \subseteq [\omega]^\omega$ is called a splitting family if any $X \in [\omega]^\omega$ is split by a member of \mathcal{S} .

The splitting number, \mathfrak{s} , is the smallest cardinal of a splitting family.

We state two very easy properties:

- (1) If \mathcal{S} does not split X and $X' \subseteq^* X$, then \mathcal{S} does not split X' .
- (2) If \mathcal{S}' is a set of infinite sets of natural numbers and $|\mathcal{S}'| < \mathfrak{s}$, then given any infinite set X , we find an $X' \subseteq X$ that is not split by \mathcal{S}' .

Three upper bounds in the Cichoń diagramme

Proposition

$$\mathfrak{s} \leq \text{unif}(\mathcal{M}), \text{unif}(\mathcal{N}), \mathfrak{d}.$$

We recall the definitions:

Definition

If $\mathcal{I} \subseteq \omega^\omega$ is an ideal, we let $\text{unif}(\mathcal{I})$ be the smallest size of a set of reals that is not in \mathcal{I} . We apply this to the ideal of \mathcal{M} of meager sets and the ideal \mathcal{N} of Lebesgue null sets.

Proof: Suppose \mathcal{S} is not a splitting family and this is witnessed by X . Then

$$\mathcal{S} \subseteq \{A \mid A \supseteq^* X \vee A \subseteq^* X^c\}.$$

Proof: Suppose \mathcal{S} is not a splitting family and this is witnessed by X . Then

$$\mathcal{S} \subseteq \{A \mid A \supseteq^* X \vee A \subseteq^* X^c\}.$$

For each k and each infinite set Y , the set $\{\chi_A \in 2^\omega \mid A \cup [0, k) \supseteq Y\} \subseteq 2^\omega$ and the set $\{\chi_A \mid A \cap [k, \infty) \subseteq Y\} \subseteq 2^\omega$ are both nowhere dense in 2^ω and both have measure 0.

Proof: Suppose \mathcal{S} is not a splitting family and this is witnessed by X . Then

$$\mathcal{S} \subseteq \{A \mid A \supseteq^* X \vee A \subseteq^* X^c\}.$$

For each k and each infinite set Y , the set $\{\chi_A \in 2^\omega \mid A \cup [0, k) \supseteq Y\} \subseteq 2^\omega$ and the set $\{\chi_A \mid A \cap [k, \infty) \subseteq Y\} \subseteq 2^\omega$ are both nowhere dense in 2^ω and both have measure 0.

For each increasing function $f \in \omega^\omega$ we define an interval partition $\mathcal{I}_f = \{I_n \mid n \in \omega\}$ such that for any $k \in I_n$, $f(k) \in I_n \cup I_{n+1}$. Then we let $S_f = \{I_{4n} \cup I_{4n+1} \mid n \in \omega\}$. We let $\text{next}_X(n) = \min(X \cap [n, \infty))$. Now if $\text{next}_X \leq^* f$ then S_f splits X . We let $\mathcal{S} = \{S_f \mid f \in \mathcal{D}\}$, where \mathcal{D} is a \leq^* -dominating family.

The distributivity number

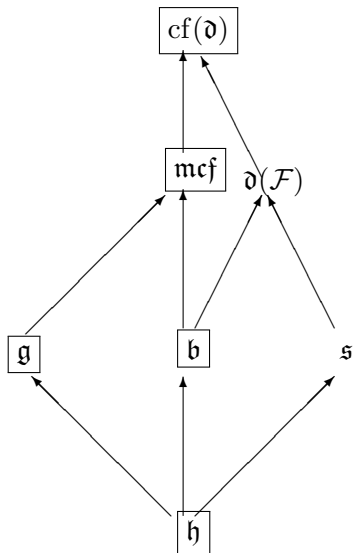
Definition

A family $\mathcal{D} \subseteq [\omega]^\omega$ is called **dense** iff for any $X \in [\omega]^\omega$ there is an $D \in \mathcal{D}$ such that $D \subseteq^* X$.

A family $\mathcal{D} \subseteq [\omega]^\omega$ is called **open**, iff it is closed under almost subsets.

\mathfrak{h} , the **distributivity number**, is the smallest size κ of a family $\{\mathcal{D}_i \mid i < \kappa\}$ of open dense sets whose intersection is empty.

A Hasse diagramme



Proposition

$$\text{cf}(\mathfrak{s}) \geq \mathfrak{h}.$$

Proposition

$\text{cf}(\mathfrak{s}) \geq \mathfrak{h}$.

Proof: We assume for a contradiction that $\text{cf}(\mathfrak{s}) < \mathfrak{h}$. Then there is a splitting family $\mathcal{S} = \bigcup\{\mathcal{S}_\alpha \mid \alpha < \text{cf}(\mathfrak{s})\}$ such that $|\mathcal{S}_\alpha| < \mathfrak{s}$. We let \mathcal{D}_α be the set of sets that are not split by any member of \mathcal{S}_α . Using (1), (2), we see that \mathcal{D}_α is open and dense. Since $\text{cf}(\mathfrak{s}) < \mathfrak{h}$, there is an infinite set $X \in \bigcap\{\mathcal{D}_\alpha \mid \alpha < \text{cf}(\mathfrak{s})\}$. The infinite set X is not split by any element of \mathcal{S} . Contradiction.

A sharper upper bound

Theorem (M.)

$$\mathfrak{s} \leq \text{cf}(\mathfrak{d}).$$

The proof is split into a couple of steps.

A sharper upper bound

Theorem (M.)

$$\mathfrak{s} \leq \text{cf}(\mathfrak{d}).$$

The proof is split into a couple of steps.

Definition

A filter \mathcal{F} over ω is called *nearly ultra* iff there is a finite-to-one function f such that $f(\mathcal{F}) = \{X \mid f^{-1}[X] \in \mathcal{F}\}$ is an ultrafilter.

A sharper upper bound

Theorem (M.)

$$\mathfrak{s} \leq \text{cf}(\mathfrak{d}).$$

The proof is split into a couple of steps.

Definition

A filter \mathcal{F} over ω is called *nearly ultra* iff there is a finite-to-one function f such that $f(\mathcal{F}) = \{X \mid f^{-1}[X] \in \mathcal{F}\}$ is an ultrafilter.

Lemma (Blass, M.)

Suppose that the filter \mathcal{F} is not nearly ultra, and let ω be partitioned into finite intervals I_n . Then there are sets $D, D' \subseteq \omega$ with the properties:

- 1. Every set in \mathcal{F} intersects both D and D' .*
- 2. Each of D and D' is a union of intervals I_n .*
- 3. If $I_n \subseteq D$ then I_n and its neighbours $I_{n\pm 1}$ are disjoint from D' .*

Proof on the blackboard.

Definition

Let \mathcal{F} be a non-principal filter over ω . Then we have the directed partial order $(\omega^{\omega} / \mathcal{F}, \leq_{\mathcal{F}})$ by letting

1. $[f]_{\mathcal{F}} = \{g \mid \{n \mid g(n) = f(n)\} \in \mathcal{F}\}$,
2. $\omega^{\omega} / \mathcal{F} = \{[f]_{\mathcal{F}} \mid f \in \omega^{\omega}\}$
3. $[f]_{\mathcal{F}} \leq_{\mathcal{F}} [g]_{\mathcal{F}}$ iff $\{n \mid f(n) \leq g(n)\} \in \mathcal{F}$.

Reduced products $(\omega, <)^\omega / \mathcal{F}$

Definition

Let \mathcal{F} be a non-principal filter over ω . Then we have the directed partial order $(\omega^\omega / \mathcal{F}, \leq_{\mathcal{F}})$ by letting

1. $[f]_{\mathcal{F}} = \{g \mid \{n \mid g(n) = f(n)\} \in \mathcal{F}\}$,
2. $\omega^\omega / \mathcal{F} = \{[f]_{\mathcal{F}} \mid f \in \omega^\omega\}$
3. $[f]_{\mathcal{F}} \leq_{\mathcal{F}} [g]_{\mathcal{F}}$ iff $\{n \mid f(n) \leq g(n)\} \in \mathcal{F}$.

Definition

We let $\mathfrak{d}(\mathcal{F})$ be the smallest size of a $\leq_{\mathcal{F}}$ -dominating set (this need not be a dominating chain). It is also called the cofinality.

However, $\mathfrak{d}(\mathcal{F})$ is not the true cofinality of $\leq_{\mathcal{F}}$ in the sense of pcf theory.

However, $\mathfrak{d}(\mathcal{F})$ is not the true cofinality of $\leq_{\mathcal{F}}$ in the sense of pcf theory.

- ▶ The latter exists iff there is a cofinal chain.

However, $\mathfrak{d}(\mathcal{F})$ is not the true cofinality of $\leq_{\mathcal{F}}$ in the sense of pcf theory.

- ▶ The latter exists iff there is a cofinal chain.
- ▶ For example, if \mathcal{F} is nearly ultra, then there is a cofinal chain.

However, $\mathfrak{d}(\mathcal{F})$ is not the true cofinality of $\leq_{\mathcal{F}}$ in the sense of pcf theory.

- ▶ The latter exists iff there is a cofinal chain.
- ▶ For example, if \mathcal{F} is nearly ultra, then there is a cofinal chain.
- ▶ $\mathfrak{d}(\mathcal{F}) = \mathfrak{d}(f(\mathcal{F}))$ for any finite-to-one f .

However, $\mathfrak{d}(\mathcal{F})$ is not the true cofinality of $\leq_{\mathcal{F}}$ in the sense of pcf theory.

- ▶ The latter exists iff there is a cofinal chain.
- ▶ For example, if \mathcal{F} is nearly ultra, then there is a cofinal chain.
- ▶ $\mathfrak{d}(\mathcal{F}) = \mathfrak{d}(f(\mathcal{F}))$ for any finite-to-one f .
- ▶ $\mathcal{F} \subseteq \mathcal{F}'$ implies $\mathfrak{d}(\mathcal{F}') \leq \mathfrak{d}(\mathcal{F})$.

However, $\mathfrak{d}(\mathcal{F})$ is not the true cofinality of $\leq_{\mathcal{F}}$ in the sense of pcf theory.

- ▶ The latter exists iff there is a cofinal chain.
- ▶ For example, if \mathcal{F} is nearly ultra, then there is a cofinal chain.
- ▶ $\mathfrak{d}(\mathcal{F}) = \mathfrak{d}(f(\mathcal{F}))$ for any finite-to-one f .
- ▶ $\mathcal{F} \subseteq \mathcal{F}'$ implies $\mathfrak{d}(\mathcal{F}') \leq \mathfrak{d}(\mathcal{F})$.
- ▶ $\mathfrak{d}(\mathcal{F} \cap \mathcal{F}') = \max(\mathfrak{d}(\mathcal{F}), \mathfrak{d}(\mathcal{F}'))$.

However, $\mathfrak{d}(\mathcal{F})$ is not the true cofinality of $\leq_{\mathcal{F}}$ in the sense of pcf theory.

- ▶ The latter exists iff there is a cofinal chain.
- ▶ For example, if \mathcal{F} is nearly ultra, then there is a cofinal chain.
- ▶ $\mathfrak{d}(\mathcal{F}) = \mathfrak{d}(f(\mathcal{F}))$ for any finite-to-one f .
- ▶ $\mathcal{F} \subseteq \mathcal{F}'$ implies $\mathfrak{d}(\mathcal{F}') \leq \mathfrak{d}(\mathcal{F})$.
- ▶ $\mathfrak{d}(\mathcal{F} \cap \mathcal{F}') = \max(\mathfrak{d}(\mathcal{F}), \mathfrak{d}(\mathcal{F}'))$.
- ▶ If $\mathfrak{u} < \mathfrak{d}$, then \mathfrak{d} is regular.

Theorem (Blass, M.)

If \mathcal{F} is not nearly ultra then $\mathfrak{s} \leq \mathfrak{d}(\mathcal{F})$.

Proof on the blackboard.

There is such a filter

Theorem (M.)

There is a filter that is not nearly ultra that has $\mathfrak{d}(\mathcal{F}) = \text{cf}(\mathfrak{d})$.

Proof on the blackboard.

Corollary

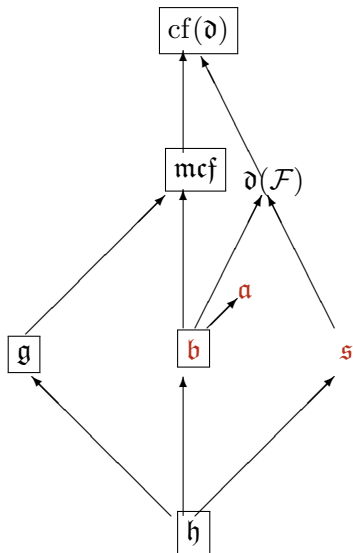
$$\mathfrak{s} \leq \text{cf}(\mathfrak{d}).$$

Estimates in ZFC

Increasing the splitting number by forcing

The splitting number at regular uncountable cardinals

Focus on \mathfrak{b} and on \mathfrak{s}



We remark that $\aleph_1 = \mathfrak{s} < \mathfrak{b}$ is consistent: Blow up the continuum by many Hechler reals and then add \aleph_1 random reals. The latter form a small splitting family.

A matrix forcing

We remark that $\aleph_1 = \mathfrak{s} < \mathfrak{b}$ is consistent: Blow up the continuum by many Hechler reals and then add \aleph_1 random reals. The latter form a small splitting family.

Also $\mathfrak{b} = \aleph_1 < \mathfrak{s}$ is relatively easy: The matrix of Blass and Shelah can be used. For larger \mathfrak{b} we have to take extra care that there are no small unbounded families.

For arbitrary regular values now explain Blass and Shelah's work and a part of Brendle's and Fischer's work, focussing on $\mathfrak{b} = \kappa \ll \mathfrak{s} = \lambda$ for two regular uncountable cardinals (and leaving out the part on mad families).

Diagonalising many filters

First some heuristics: Suppose $|\mathcal{S}| < \kappa$ and we want to show that \mathcal{S} is not a splitting family. We have to show that there is an X such that for any $S \in \mathcal{S}$, $X \subseteq^* S$ or $X \subseteq^* S^c$. So any X that diagonalises a filter \mathcal{F} that contains for each $S \in \mathcal{S}$, S or its complement, would serve as such an X . So a strategy is to show that any small family \mathcal{S} has an filter \mathcal{F} containing for each S , S or S^c and that \mathcal{F} lies “early” in the construction so that at a later time \mathcal{F} is diagonalised by forcing. If we do this in a linear iteration, most likely we will end with a model of $\mathfrak{p} = \mathfrak{c} = \mathfrak{s} = \mathfrak{b} = \mathfrak{d} = \mathfrak{u}$.

Definition

(Mathias forcing) Let \mathcal{F} be a non-principal filter. Conditions in $\mathbb{M}_{\mathcal{F}}$ are pairs (s, A) , s a finite subset of ω and $A \in \mathcal{F}$ and

$\max(s) < \min(A)$. $(t, B) \leq (s, A)$ iff

$t \supseteq s$ and

$t \setminus s \subseteq A$ and

$B \subseteq A$.

We say t is permitted by (s, A) iff $s \subseteq t \subseteq s \cup A$.

Definition

We write $\mathbb{P} \subseteq \mathbb{Q}$ iff $\mathbb{P} \subseteq \mathbb{Q}$, $p \leq p'$ implies $q \leq q'$.

Note that this implies that $p \perp_{\mathbb{Q}} p'$ implies $p \perp_{\mathbb{P}} p'$ for any $p, p' \in \mathbb{P}$.

Definition

We write $\mathbb{P} \subseteq \mathbb{Q}$ iff $\mathbb{P} \subseteq \mathbb{Q}$, $p \leq p'$ implies $q \leq q'$.

Note that this implies that $p \perp_{\mathbb{Q}} p'$ implies $p \perp_{\mathbb{P}} p'$ for any $p, p' \in \mathbb{P}$.

If in addition $p \perp_{\mathbb{P}} p'$ implies $p \perp_{\mathbb{Q}} p'$ then we write $\mathbb{P} \subseteq_{ic} \mathbb{Q}$.

Definition

We write $\mathbb{P} \subseteq \mathbb{Q}$ iff $\mathbb{P} \subseteq \mathbb{Q}$, $p \leq p'$ implies $q \leq q'$.

Note that this implies that $p \perp_{\mathbb{Q}} p'$ implies $p \perp_{\mathbb{P}} p'$ for any $p, p' \in \mathbb{P}$.

If in addition $p \perp_{\mathbb{P}} p'$ implies $p \perp_{\mathbb{Q}} p'$ then we write $\mathbb{P} \subseteq_{ic} \mathbb{Q}$.

We say \mathbb{P} is a **complete suborder** of \mathbb{Q} (short $\mathbb{P} \triangleleft \mathbb{Q}$) iff $\mathbb{P} \subseteq_{ic} \mathbb{Q}$ and every maximal antichain of \mathbb{P} is maximal in \mathbb{Q} .

$\mathbb{P} \triangleleft \mathbb{Q}$ iff there is a reduction function from \mathbb{Q} to \mathbb{P} such that for each $q \in \mathbb{Q}$, $p = \text{red}_{\mathbb{Q}, \mathbb{P}}(q)$ is a reduction of q (with respect to \mathbb{P} , \mathbb{Q}) iff

$$(\forall p' \leq_{\mathbb{P}} p)(p' \parallel_{\mathbb{Q}} q).$$

We write $p \parallel q$ for $p \not\leq q$.

Definition

We write $\mathbb{P} \subseteq \mathbb{Q}$ iff $\mathbb{P} \subseteq \mathbb{Q}$, $p \leq p'$ implies $q \leq q'$.

Note that this implies that $p \perp_{\mathbb{Q}} p'$ implies $p \perp_{\mathbb{P}} p'$ for any $p, p' \in \mathbb{P}$.

If in addition $p \perp_{\mathbb{P}} p'$ implies $p \perp_{\mathbb{Q}} p'$ then we write $\mathbb{P} \subseteq_{ic} \mathbb{Q}$.

We say \mathbb{P} is a complete suborder of \mathbb{Q} (short $\mathbb{P} \triangleleft \mathbb{Q}$) iff $\mathbb{P} \subseteq_{ic} \mathbb{Q}$ and every maximal antichain of \mathbb{P} is maximal in \mathbb{Q} .

$\mathbb{P} \triangleleft \mathbb{Q}$ iff there is a **reduction function from \mathbb{Q} to \mathbb{P}** such that for each $q \in \mathbb{Q}$, $p = \text{red}_{\mathbb{Q}, \mathbb{P}}(q)$ is a reduction of q (with respect to \mathbb{P} , \mathbb{Q}) iff

$$(\forall p' \leq_{\mathbb{P}} p)(p' \parallel_{\mathbb{Q}} q).$$

We write $p \parallel q$ for $p \not\perp q$.

A pair of models with a witness g for unboundedness in the upper model

Definition

Let $M \subseteq N$ be models of set theory and $g \in N \cap \omega^\omega$ is such that for all $f \in M \cap \omega^\omega$, $N \models g \not\leq^* f$, we say that $(\star M, N, g)$ holds.

Lemma (Blass and Shelah)

Let $M \subseteq N$ be models of set theory and $g \in \omega^\omega \cap N$ such that $(\star M, N, g)$. In addition, let \mathcal{U} be an ultrafilter in M . Then there is an ultrafilter $\mathcal{V} \supseteq \mathcal{U}$ in N such that

- (1) every maximal antichain of $\mathbb{M}_{\mathcal{U}}$ which belongs to M is a maximal antichain of $\mathbb{M}_{\mathcal{V}}$ in N , we write $\mathbb{M}_{\mathcal{U}} \leq_M \mathbb{M}_{\mathcal{V}}$,
- (2) $(\star M[G], N[G], g)$ holds for any $\mathbb{M}_{\mathcal{V}}$ -generic G over N .

What can go wrong in the choice of \mathcal{V} ?

We say r is permitted by (s, X) if $s \subseteq r \subseteq s \cup X$.

A violation of $\mathbb{M}_{\mathcal{U}} \leq_M \mathbb{M}_{\mathcal{V}}$: A maximal antichain C of $\mathbb{M}_{\mathcal{U}}$ and a condition $(t, A) \in \mathbb{M}_{\mathcal{V}}$ such that for any $c \in C$, no finite set is permitted by (t, A) and c . We say A is forbidden by t and C .

A violation of $(\star M[G], N[G], g)$: An $\mathbb{M}_{\mathcal{U}}$ -name $\tilde{f} = \langle (W_n, f_n) \mid n \in \omega \rangle$ (meaning: $p \in W_n$ forces $\tilde{f}(n) = f_n(p)$) and a condition $(t, B) \in \mathbb{M}_{\mathcal{V}}$ such that for any $n \in \omega$, for any $p \in W_n$, if $f_n(p) < g(n)$, then no finite set is permitted by (t, B) and p . We say B is forbidden by t and \tilde{f} .

A compactness argument

Show that no $Z \in \mathcal{U}$ is covered by forbidden sets $A_i, B_i, i < k$, with witnesses a_i, C_i and b_i, \tilde{f} .

A compactness argument

Show that no $Z \in \mathcal{U}$ is covered by forbidden sets $A_i, B_i, i < k$, with witnesses a_i, C_i and b_i, f .

Claim

(to the lemma) For every $n \in \omega$ there exists $h(n) \in \omega$ such that $h(n) > n$ and whenever the interval $Z \cap [n, h(n))$ of Z is partitioned into $2k$ pieces then at least one of the pieces P has both of the following properties:

- (i) For each $i < k$ there is a finite $e \subseteq P$ such that $a_i \cup e$ is permitted by C_i ,*
- (ii) For each $i < k$ there is a finite set $e \subseteq P$ such that $b_i \cup e$ is permitted by some $p \in W_n$ such that $f_n(p) \leq g(n)$.*

Proof on the blackboard. Then back to the proof of the lemma.

More harmless forcings for $(\star M, N, g)$

Lemma (Brendle and Fischer)

Let $M \subseteq N$ be models of set theory $\mathbb{P} \in M$ be a poset that that $\mathbb{P} \subseteq M$ and let G be a \mathbb{P} -generic filter over N (and hence over M). If $g \in N$ is such that $(\star M, N, g)$ holds then $(\star M[G], N[G], g)$ holds.

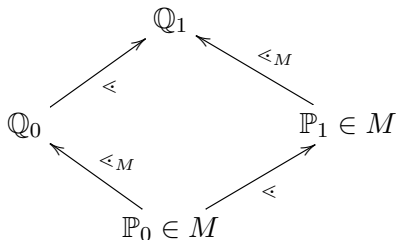
Instructive to take $\mathbb{P} = \mathbb{D}^M$, Hechler forcing in M .

Lemma

Let $\langle \mathbb{P}_{\ell, \eta}, \mathbb{Q}_{\ell, \eta} \mid \eta < \xi \rangle$, $\ell = 0, 1$ be finite support iterations such that $\mathbb{P}_{0, \eta}$ is a complete suborder of $\mathbb{P}_{1, \eta}$ for all $\eta < \xi$. Then $\mathbb{P}_{0, \xi}$ is a complete suborder of $\mathbb{P}_{1, \xi}$.

This is an instance of correctness preserving. Let us introduce a basic rectangle (or lozenge) and recall the notion of correctness (Brendle, Mejía):

Correct diagrammes



Definition

For $i = 0, 1$ let \mathbb{P}_i and \mathbb{Q}_i be posets. When $\mathbb{P}_i < \mathbb{Q}_i$ for $i = 0, 1$ and $\mathbb{P}_0 \leq \mathbb{P}_1$ and $\mathbb{Q}_0 < \mathbb{Q}_1$ we say that the diagramme $\langle \mathbb{P}_0, \mathbb{P}_1, \mathbb{Q}_0, \mathbb{Q}_1 \rangle$ is **correct** if for each $q \in \mathbb{Q}_0$ and $p_1 \in \mathbb{P}_1$ if both have a common reduction in \mathbb{P}_0 then they are compatible in \mathbb{Q}_1 .

An equivalent formulation is: Whenever $p_0 \in \mathbb{P}_0$ is a reduction of $p_1 \in \mathbb{P}_1$ in the $\mathbb{P}_0, \mathbb{P}_1$ -sense, then p_0 is a reduction of p_1 w.r.t. $\mathbb{Q}_0, \mathbb{Q}_1$.

A successor step in a pattern of correct rectangles

Lemma (Brendle and Fischer)

Let \mathbb{P}, \mathbb{Q} be partial orders such that \mathbb{P} is completely embedded into \mathbb{Q} . Let $\underline{\mathbb{A}}$ be a \mathbb{P} -name of a forcing notion, $\underline{\mathbb{B}}$ be a \mathbb{Q} -name for a forcing notion such that $\mathbb{Q} \Vdash \underline{\mathbb{A}} \subseteq_{ic} \underline{\mathbb{B}}$ and every maximal antichain of $\underline{\mathbb{A}}$ in $V^{\mathbb{P}}$ is a maximal antichain of $\underline{\mathbb{B}}$ in $V^{\mathbb{Q}}$, i.e. $\mathbb{Q} \Vdash \underline{\mathbb{A}} \triangleleft_{V^{\mathbb{P}}} \underline{\mathbb{B}}$. Then $\mathbb{P} * \underline{\mathbb{A}} \triangleleft \mathbb{Q} * \underline{\mathbb{B}}$ and $\langle \mathbb{P}, \mathbb{P} * \underline{\mathbb{A}}, \mathbb{Q}, \mathbb{Q} * \underline{\mathbb{B}} \rangle$ is a correct diagramme.

Definition (Blass and Shelah)

A matrix iteration of ccc posets is given by $\langle \mathbb{P}_{\alpha,\xi}, \mathbb{Q}_{\alpha,\xi} \mid \xi < (\leq)\lambda, \alpha \leq \kappa \rangle$ with the following conditions.

(1) The ground row (ξ -coordinate is 0):

$\mathbb{P}_{\kappa,0} = \text{fslimit} \langle \mathbb{P}_{\alpha,0}, \mathbb{R}_{\alpha} \mid \alpha < \lambda \rangle$, and the sequence is a finite support iteration,

(2) The α -th solid column for $\alpha \leq \kappa$:

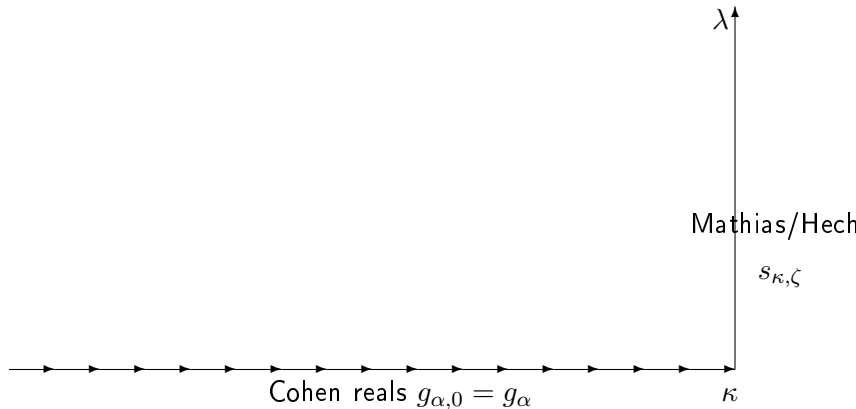
$\mathbb{P}_{\alpha,\lambda} = \text{fslimit} \langle \mathbb{P}_{\alpha,\xi}, \mathbb{Q}_{\alpha,\xi} \mid \xi < \lambda \rangle$, and the sequence is a finite support iteration, (solid column means: spans from 0 to α in the horizontal direction in the picture).

(3) Each rectangle of height 1 is correct: For all $\xi < \lambda$ and

$\alpha < \beta \leq \kappa$ $\mathbb{P}_{\beta,\xi} \Vdash \mathbb{Q}_{\alpha,\xi} \leq_{V^{\mathbb{P}_{\alpha,\xi}}} \mathbb{Q}_{\beta,\xi}$,

(4) For each $\xi < \lambda$, for each limit $\beta \leq \kappa$, $\mathbb{P}_{\beta,\xi}$ is the direct limit of $\mathbb{P}_{\beta',\xi}$, $\beta' < \beta$.

An iteration with a rectangular structure

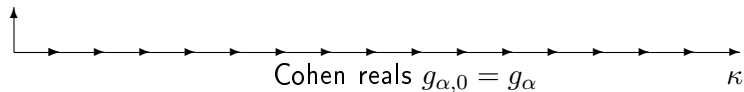


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

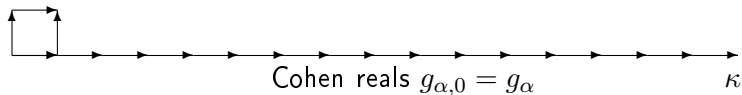


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

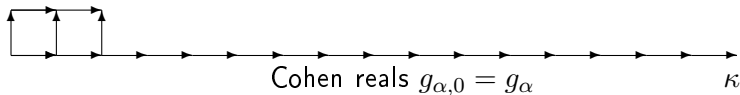


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

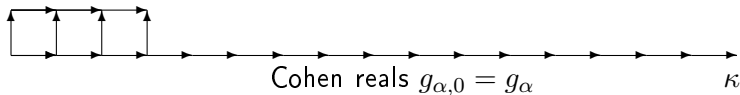


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

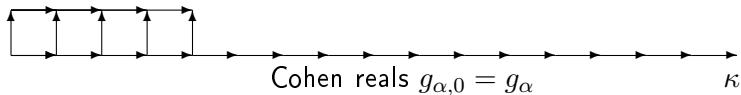


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

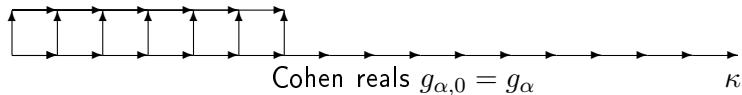


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

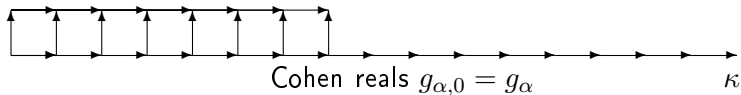


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

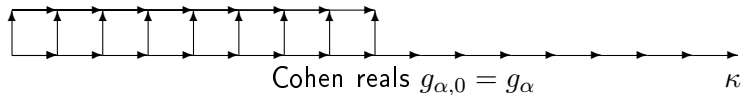


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

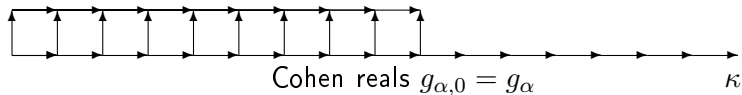


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

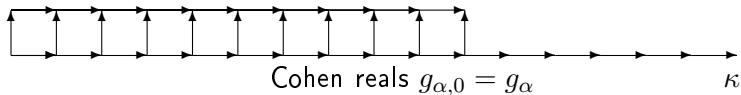


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

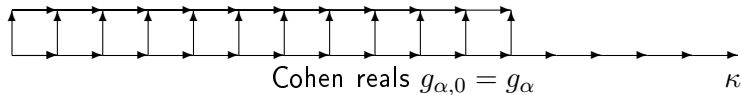


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

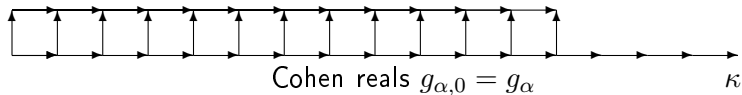


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

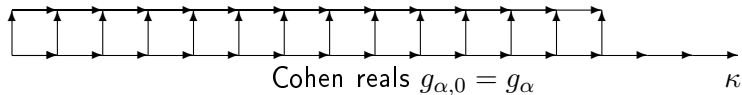


An iteration with a rectangular structure

λ

Mathias/Hech

$\mathcal{S}_{\kappa, \zeta}$

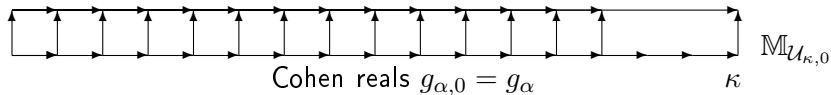


An iteration with a rectangular structure

λ

Mathias/Hech

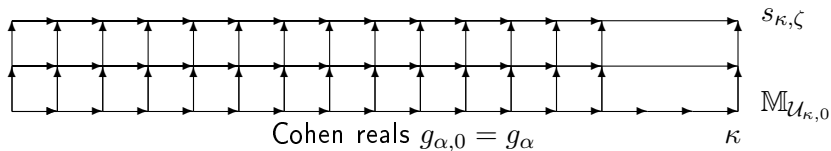
$S_{\kappa, \zeta}$



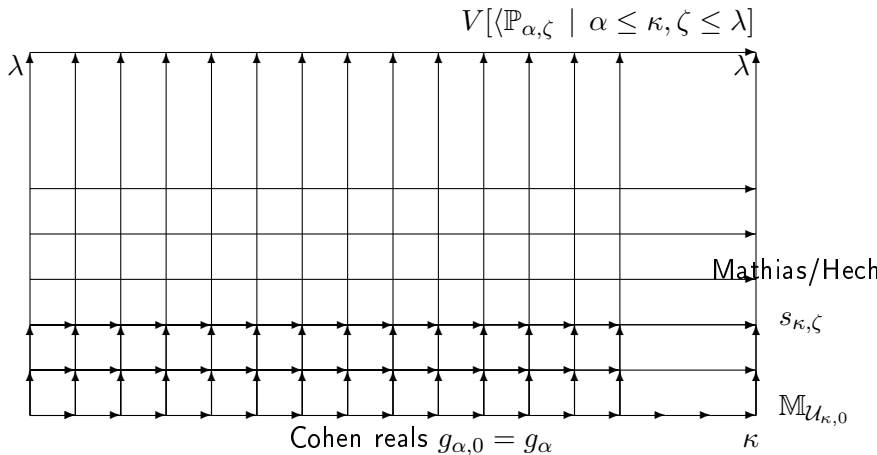
An iteration with a rectangular structure

λ

Mathias/Hech



An iteration with a rectangular structure



Consequence:

Any lower part limit solid column $\mathbb{P}_{\alpha, \lambda'}$, $\alpha \leq \kappa$ limit, $\lambda' \leq \lambda$ is a direct limit of the lower parts of the solid columns $\mathbb{P}_{\alpha', \lambda'}$, $\alpha' < \alpha$. and turned around.

Lemma (Brendle and Fischer)

Let $\langle \mathbb{P}_{\ell,\eta}, \mathbb{Q}_{\ell,\eta} \mid \eta < \xi \rangle$, $\ell = 0, 1$ be finite support iterations such that $\mathbb{P}_{0,\eta}$ is a complete suborder of $\mathbb{Q}_{\ell,\eta}$ for all $\eta < \xi$. Let ξ be a limit ordinal. If $g \in V^{\mathbb{P}_{1,0}} \cap \omega^\omega$ and $(\star V^{\mathbb{P}_{0,\eta}}, V^{\mathbb{P}_{1,\eta}}, g)$ holds for all $\eta < \xi$ then $(\star V^{\mathbb{P}_{0,\xi}}, V^{\mathbb{P}_{1,\xi}}, g)$.

An upwards limit, a diagramme

$$\begin{array}{ccc}
 \mathbb{P}_{0,\eta} & \xrightarrow{\quad \triangleleft \quad} & g, \mathbb{P}_{1,\eta} \\
 \vdots & & \vdots \\
 \uparrow \triangleleft & & \uparrow \triangleleft \\
 \mathbb{P}_{0,\xi+2} = \mathbb{P}_{1,\xi} * \mathbb{D}^{V^{\mathbb{P}_{0,\xi+1}}} & \xrightarrow{\quad \triangleleft \quad} & g, \mathbb{P}_{1,\xi+2} = \mathbb{P}_{1,\xi} * \mathbb{D}^{V^{\mathbb{P}_{0,\xi+1}}} \\
 \uparrow \triangleleft & & \uparrow \triangleleft \\
 \mathbb{P}_{0,\xi+1} = \mathbb{P}_{0,\xi} * \mathbb{M}\mathcal{U}_{0,\xi} & \xrightarrow{\quad \triangleleft \quad} & g, \mathbb{P}_{1,\xi+1} = \mathbb{P}_{1,\xi} * \mathbb{M}\mathcal{U}_{1,\xi} \\
 \uparrow \triangleleft & & \uparrow \triangleleft \\
 \mathbb{P}_{0,\xi} & \xrightarrow{\quad \triangleleft \quad} & g, \mathbb{P}_{1,\xi}
 \end{array}$$

The consistency of $\mathfrak{b} = \kappa < \mathfrak{s} = \lambda = \mathfrak{c}$ via a ccc matrix

Let $f: \{\eta < \lambda \mid \eta \text{ even}\} \rightarrow \kappa$ be a surjection such that for each $\alpha < \kappa$, $f^{-1}(\alpha)$ is cofinal in λ . We define a matrix

$$\langle \langle \mathbb{P}_{\alpha, \zeta} \mid \alpha \leq \kappa, \zeta \leq \lambda \rangle, \langle \mathbb{Q}_{\alpha, \zeta} \mid \alpha \leq \kappa, \zeta < \lambda \rangle \rangle$$

as follows by induction on ζ (and for a fixed ζ , by induction on α):

Induction on $\zeta \leq \lambda$ and $\alpha \leq \kappa$

(1) $\mathbb{P}_{\alpha,0} = \text{Fn}_{<\omega}(\alpha \times \omega, \omega)$ adding a Cohen real g_β for $\beta < \alpha$.

Induction on $\zeta \leq \lambda$ and $\alpha \leq \kappa$

- (1) $\mathbb{P}_{\alpha,0} = \text{Fn}_{<\omega}(\alpha \times \omega, \omega)$ adding a Cohen real g_β for $\beta < \alpha$.
- (2) if $\zeta = \eta + 1$ and ζ is odd then $\mathbb{P}_{\alpha,\eta} \Vdash \underline{\mathbb{Q}}_{\alpha,\eta} = \underline{\mathbb{M}}_{\underline{\mathcal{U}}_{\alpha,\eta}}$ and for all $\alpha < \beta \leq \kappa$, $\mathbb{P}_{\beta,\eta} \Vdash \underline{\mathcal{U}}_{\alpha,\eta} \subseteq \underline{\mathcal{U}}_{\beta,\eta}$ and this is done and in the main lemma that that for any $\beta < \alpha$, $(\star V^{\mathbb{P}_{\beta,\zeta}}, V^{\mathbb{P}_{\alpha,\zeta}}, g_\beta)$, $\mathbb{P}_{\delta,\zeta}$ is the direct limit of $\mathbb{P}_{\alpha,\zeta}$, for limit δ .

Induction on $\zeta \leq \lambda$ and $\alpha \leq \kappa$

- (1) $\mathbb{P}_{\alpha,0} = \text{Fn}_{<\omega}(\alpha \times \omega, \omega)$ adding a Cohen real g_β for $\beta < \alpha$.
- (2) if $\zeta = \eta + 1$ and ζ is odd then $\mathbb{P}_{\alpha,\eta} \Vdash \underline{\mathbb{Q}}_{\alpha,\eta} = \underline{\mathbb{M}}_{\mathcal{U}_{\alpha,\eta}}$ and for all $\alpha < \beta \leq \kappa$, $\mathbb{P}_{\beta,\eta} \Vdash \underline{\mathcal{U}}_{\alpha,\eta} \subseteq \underline{\mathcal{U}}_{\beta,\eta}$ and this is done and in the main lemma that that for any $\beta < \alpha$, $(\star V^{\mathbb{P}_{\beta,\zeta}}, V^{\mathbb{P}_{\alpha,\zeta}}, g_\beta)$, $\mathbb{P}_{\delta,\zeta}$ is the direct limit of $\mathbb{P}_{\alpha,\zeta}$, for limit δ .
- (3) if $\zeta = \eta + 1$ and ζ is even and $\alpha \leq f(\eta)$ then $\underline{\mathbb{Q}}_{\alpha,\eta}$ is the one point forcing notion if $\alpha > f(\eta)$ then then

$$\mathbb{P}_{\alpha,\eta} \Vdash \underline{\mathbb{Q}}_{\alpha,\eta} = \text{Hechler forcing in } V^{\mathbb{P}_{f(\eta),\eta}}.$$

Same clause at limits.

Induction on $\zeta \leq \lambda$ and $\alpha \leq \kappa$

- (1) $\mathbb{P}_{\alpha,0} = \text{Fn}_{<\omega}(\alpha \times \omega, \omega)$ adding a Cohen real g_β for $\beta < \alpha$.
- (2) if $\zeta = \eta + 1$ and ζ is odd then $\mathbb{P}_{\alpha,\eta} \Vdash \underline{\mathbb{Q}}_{\alpha,\eta} = \underline{\mathbb{M}}_{\underline{\mathcal{U}}_{\alpha,\eta}}$ and for all $\alpha < \beta \leq \kappa$, $\mathbb{P}_{\beta,\eta} \Vdash \underline{\mathcal{U}}_{\alpha,\eta} \subseteq \underline{\mathcal{U}}_{\beta,\eta}$ and this is done and in the main lemma that that for any $\beta < \alpha$, $(\star V^{\mathbb{P}_{\beta,\zeta}}, V^{\mathbb{P}_{\alpha,\zeta}}, g_\beta)$, $\mathbb{P}_{\delta,\zeta}$ is the direct limit of $\mathbb{P}_{\alpha,\zeta}$, for limit δ .
- (3) if $\zeta = \eta + 1$ and ζ is even and $\alpha \leq f(\eta)$ then $\underline{\mathbb{Q}}_{\alpha,\eta}$ is the one point forcing notion if $\alpha > f(\eta)$ then then

$$\mathbb{P}_{\alpha,\eta} \Vdash \underline{\mathbb{Q}}_{\alpha,\eta} = \text{Hechler forcing in } V^{\mathbb{P}_{f(\eta),\eta}}.$$

Same clause at limits.

- (4) If $\zeta \leq \lambda$ is a limit then for all $\alpha \leq \kappa$, $\mathbb{P}_{\alpha,\zeta}$ is the finite support iteration of $\langle \mathbb{P}_{\alpha,\eta}, \underline{\mathbb{Q}}_{\alpha,\eta} \mid \eta \leq \zeta \rangle$. For each $\xi < \lambda$, for each limit $\beta \leq \kappa$, $\mathbb{P}_{\beta,\xi}$ is the direct limit of $\mathbb{P}_{\beta',\xi}$, $\beta' < \beta$.

Along the induction on ζ we prove:

- (a) For $\zeta \leq \lambda$, $\forall \alpha < \beta \leq \kappa$, $\mathbb{P}_{\alpha, \zeta} \triangleleft \mathbb{P}_{\beta, \zeta}$.
- (b) $\forall \zeta \leq \lambda$, $\forall \alpha < \kappa$, $(\star V^{\mathbb{P}_{\alpha, \zeta}}, V^{\mathbb{P}_{\alpha+1, \zeta}}, g_\alpha)$ holds.
- (c) every $p \in \mathbb{P}_{\kappa, \zeta}$ there is an $\alpha < \kappa$ such that $p \in \mathbb{P}_{\alpha, \zeta}$.
- (d) for every $\mathbb{P}_{\kappa, \zeta}$ -name for a real \tilde{f} there is $\alpha < \kappa$ such that \tilde{f} is a $\mathbb{P}_{\alpha, \zeta}$ -name.

Estimates in ZFC

Increasing the splitting number by forcing

The splitting number at regular uncountable cardinals

Let κ be a regular uncountable cardinal.

Definition

$\mathfrak{s}(\kappa)$ is the smallest size of a splitting family of subsets of κ . Here splitting is meant in the κ -sense: S splits X iff $X \in [\kappa]^\kappa$ and $S \cap X$ and $X \setminus S$ both have cardinality κ .

Remark

$$\mathfrak{s}(\kappa) \leq \mathfrak{s}(\text{cf}(\kappa)).$$

Remark

$$\mathfrak{s}(\kappa) \leq \mathfrak{s}(\text{cf}(\kappa)).$$

Theorem (Suzuki)

If $\mathfrak{s}(\kappa) \geq \kappa$ then κ is strongly inaccessible.

Remark

$$\mathfrak{s}(\kappa) \leq \mathfrak{s}(\text{cf}(\kappa)).$$

Theorem (Suzuki)

If $\mathfrak{s}(\kappa) \geq \kappa$ then κ is strongly inaccessible.

Theorem (Suzuki)

Let $\kappa > \omega$ be a regular cardinal. $\mathfrak{s}_\kappa > \kappa$ iff κ is weakly compact.

Proof on the blackboard.

Theorem (Raghavan, Shelah)

Let κ be a regular uncountable cardinal. $\mathfrak{s}(\kappa) \leq \mathfrak{b}(\kappa)$.

Definition

Let $\kappa > \omega$ be regular and suppose that there exists a cardinal λ such that $\kappa < \lambda < \mathfrak{s}_\kappa$. Fix a sufficiently large regular cardinal θ ($\theta = (2^{2^{\mathfrak{s}_\kappa}})^+$ will suffice). Let $M \prec H(\theta)$ be such that $\lambda \subset M$ and $|M| = \lambda$. $M \cap \mathcal{P}(\kappa)$ is not a splitting family. So there exists $A_* \in [\kappa]^\kappa$ such that for all $x \in M \cap \mathcal{P}(\kappa)$ either $A_* \subset^* (\kappa \setminus x)$ or $A_* \subset^* x$. Define D to be $\{x \in \mathcal{P}(\kappa) : A_* \subset^* x\}$.

$$L = \{[f]_D \mid f \in {}^\kappa \kappa \cap M\}.$$

Lemma

The structure $(L, <_D)$ is a linear order. Moreover $\{[c_\alpha]_D \mid \alpha < \kappa\}$ has a least upper bound in L .

A small unbounded family

Definition

Fix a function $f_* \in M \cap \kappa^\kappa$ such that $[f_*]_D \in L$ is a least upper bound of $\{[c_\alpha]_D \mid \alpha < \kappa\}$.

Lemma

If $C \in M$ is a club in κ , then $f_^{-1}(C) \in D$.*

Lemma

$M \cap \kappa^\kappa$ is bounded.